CENTRAL KYC REGISTRY Know Your Customer (KYC) Application Form Individual (For State & Country Code please visit Website : www.maverickgroup.in)														
Kno	w Your Client (KYC)	CKYC & K	(RA KY	C Form							ad Paternin at	200		
	lication Form (For Individuals Onl	y)	90 ¹	O OF SECOR	Appli	cation N	lo ·							
	e fill in ENGLISH and in BLOCK LETTERS	Ÿ	1			cution					CERSA			
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		New	Update								- 4)			
o be illiea	by financial institution) KYC Number	Norma l	 Simplifie	d (for lov	v risk custo		ory for F S		_		St) Based-KY(C		
	A. Identity Details (please see guidelines over		Joinpline		W HSK CUSIO	meraj		nan	L		Daseu-KT	0		
	1. Name of Applicant (As appearing in supporting identification													
	Name								РН	IOTOGR	APH			
	Father's/Spouse Name													
(back to back). If printed separately then both the pages should be attached and signed by the applicant."	Mother's Name									Please affix				
appli	2. Gender Male Female B. Marital status Single Married C. Date of Birth d d / m m / y y y 3. Nationality Indian Other (Please specify)										the recent passport size photograph and sign across it			
oy the														
	4. Status Please tick (√) □ Resident Individual □ Non Resident □ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals) 5. Occupation Type* □ S-Service (□ Private Sector □ Publoc Sector □ Government Sector)													
1														
	O-Others (Professional Self Employed Retired House wife Student)													
	B-Business X-Not Cate		. ,	_			_	í L						
	6. PAN	enclose a duly attest	sted copy of	vour PAN Ca	ırd									
	Unique Identification Number (UID)/Aadhaar, if any:			,										
	7. Proof of Identity submitted for PAN exempt cases Pla	ease Tick (✔)												
	UID (Aadhaar) Voter ID Driving Licence Others (Please see guideline 'D' overleaf											af		
	B. Address Details (please see guidelines over	leaf)												
	1. Address for Correspondence													
	City / Town / Village							Pin Code	2					
	State			Country										
	2. Contact Details													
	Tel. (Off.) (ISD) (STD)			Tel. (Res.)		·								
	Mobile (ISD) (STD) E-Mail Id.			Fax	(ISD) (STE)								
		AND/			P. I I		e.i. () .		4		- 44 1			
	3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (√) against the document attached. □ Passport □ Ration Card □ Registered Lease/Sale Agreement of Residence □ Driving License □ Voter Identity Card □ *Latest Bank A/c Statement/Passbook □ *Latest Telephone Bill (only Land Line) □ *Latest Electricity Bill □ *Latest Gas Bill □ UID (Aadhaar) □ Others													
	*Not more than 3 Months old. Validity/Expiry date of p	roof of address sul	bmitted d	d / n	m m / y	у у	у							
	4. Permanent Address of Resident Applicant if o	different from a	above B1	OR Overs	eas Address	(Manda	atory) fo	r Non-	Resider	nt App	licant			
	City / Town / Village							Pin Code						
	State			Country										
	5. Proof of address to be provided by Applicant. Ple	ase submit ANY	ONE of th	e followin	a valid docur	nents &	tick (√) a	gainst	the doc	ument	attached.			
Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card Latest Bank A/c Statement/P														
		electricity Bill *L	Latest Gas E	Sill 🗌 UID	(Aadhaar)	Others (<u>Plea</u>	ase specify)							
	*Not more than 3 Months old. Validity/Expiry date of p				n m 7 y	у у								
								SIGNATURE OF APPLICANT						
Percent latton Card legistered Lease/Sale Agreement of Residence Driving License Voter Identity Card leftatest Bank Arc St. *Not more than 3 Months old. Validity/Expiry date of proof of address submitted d d / m m / y y y y 4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resider (City / bwn / Vilage 5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc State 1. Permanent Address of Resident Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (<) against the doc 1. Place: 1. DECLARATION 1. StignATURE OF 1. Place: 1. Dete: 1. Date: 1. Proof of of Cord and correct to the best of my/our knowledge and belief and Lundertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable														
	false or untrue or misleading or misrepresenting, lam/we	e are aware that I/	/we may be	held liable ⁻	for it.		R3							
	Place:		Date:											
	FOR OFFICE								/	/		1		
	FOR OFFICE	Staff Name	Sunit	a Agarw		PV Done			/					
	AMC/Intermediary name OR code			-										
	Maverick Share Brokers Pvt. Ltd. Designation Sr. Executive													
	$\hfill\square$ (Originals Verified) Self Certified Document copies received	Name of the	Organizat	ion May	verick Sha	are Bro	okers F	vt. L	td.					
	□ (Attested) True copies of documents received	Signature												
	Main Intermediary	Date					Seal/Stam) of the	intermer	diarv shr	ould contain			
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INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- 1. Self attested copy of PAN card is mandatory for all clients.
- 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

- 1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- 2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
- 3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
- C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)
 - 1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

- 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook Not more than 3 months old.
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Foreign Bank/Multinationa Banks/Gazetted Officer/Notary public/Elected representatives Legislative to the Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

- 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
- 5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.