

Annexure - A

Form No. MGT -9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31st March 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i) CIN	U67120RJ2000PTC016606
ii) Registration Date	30.08.2000
iii) Name of the Company	Maverick Share Brokers Private Limited
iv) Category / Sub Category of the Company	Company Limited by Shares
v) Address of the Registered office and Contact details	211, Laxmi Complex, M. I. Road, Jaipur- 302001 Tel. No.: +91 141-4919109, +91 141- 2362040-44 Fax: +91 141-2360627
vi) Whether listed company (Yes / No)	No
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	Bigshare Services Pvt. Ltd. E-2/3, Ansa Industrial Estate, Saki Naka, Andheri (E), Mumbai-400072 Contact Details: +91 22 28470652/40430200 Fax No.: +91 22 28475207

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated :

Sr. No.	Name and Description of main products / services	NIC Code of the Product / Service	% of total turnover of the Company
1	Security dealing activities (Stock broking)	67120(67)	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of Shares held	Applicable Section
1.	Maverick Financial Services Private Limited	U67190RJ2006PTC023053	Associate	31.88	Section 2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding:

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual / HUF	8703300	-	8703300	72.53	8703300	-	8703300	72.53	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corporate	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total	8703300	-	8703300	72.53	8703300	-	8703300	72.53	-

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(A)(1):									
(2) Foreign									
a) NRIs - Individuals	-	-	-	-	-	-	-	-	-
b) Other - Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2):	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoters (A) = (A)(1)+(A)(2)	8703300	-	8703300	72.53	8703300	-	8703300	72.53	-
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds / UTI	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non-Institutions									
a) Bodies Corporate									
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	3296700	-	3296700	27.47	3296700	-	3296700	27.47	-
c) Others (specify)	-	-	-	-	-	-	-	-	-

Sub-Total (B)(2):	3296700		3296700	27.47	3296700		3296700	27.47	
Total Public Shareholding (B)=(B)(1)+(B)(2)	3296700	-	3296700	27.47	3296700	-	3296700	27.47	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	12000000	-	12000000	100	12000000	-	12000000	100	-

ii) Shareholding of Promoters:

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in Shareholding during the year
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Ramesh Kumar Mantri	2979900	24.83	-	2979900	24.83	-	-
2	Mukut Behari Agarwal	2686800	22.39	-	2686800	22.39	-	-
3	Mukesh Jain	3036600	25.31	-	3036600	25.31	-	-
	Total	8703300	72.53	-	8703300	72.53	-	-

iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	No Change	No Change	No Change	No Change
	Datewise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	No Change	No Change	No Change	No Change
	At the end of the year			No Change	No Change

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Ramesh Kumar Mantri HUF				
	At the beginning of the year	570000	4.75	570000	4.75
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for	Nil	Nil	Nil	Nil

	increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)				
	At the end of the year			570000	4.75
2.	Sangeeta Mantri				
	At the beginning of the year	450300	3.75	450300	3.75
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			450300	3.75
3.	Mukesh Jain HUF				
	At the beginning of the year	405300	3.38	405300	3.38
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			405300	3.38
4.	Asha Jain				
	At the beginning of the year	558000	4.65	558000	4.65
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			558000	4.65
5.	Mukut Behari Agarwal HUF				
	At the beginning of the year	67800	0.57	67800	0.57
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			67800	0.57
6.	Sunita Agarwal				
	At the beginning of the year	645300	5.38	645300	5.38
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			645300	5.38

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Ramesh Kumar Mantri				
	At the beginning of the year	2979900	24.83	2979900	24.83

	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			2979900	24.83
2.	Mukesh Jain				
	At the beginning of the year	3036600	25.31	3036600	25.31
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			3036600	25.31
3.	Anurag Agarwal				
	At the beginning of the year	600000	5.00	600000	5.00
	Datewise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.)	Nil	Nil	Nil	Nil
	At the end of the year			600000	5.00

vi) Indebtedness:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	18,48,00,000.00	0.00	0.00	18,48,00,000.00
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	18,48,00,000.00	0.00	0.00	18,48,00,000.00
Change in Indebtedness during the financial year				
Addition	14,92,00,000.00	0.00	0.00	14,92,00,000.00
Reduction	17,48,00,000.00	0.00	0.00	17,48,00,000.00
Net Change	(2,56,00,00.00)	0.00	0.00	(2,56,00,00.00)
Indebtedness at the end of the financial year				
i) Principal Amount	15,92,00,000.00	0.00	0.00	15,92,00,000.00
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	15,92,00,000.00	0.00	0.00	15,92,00,000.00

vii) Remuneration Of Directors And Key Managerial Personnel :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Mukesh Jain Managing Director		Total Amount
	Gross Salary			
1.	(a) Salary as per Provisions contained in section 17(1) of Income Tax Act, 1961	9,00,000/-		9,00,000/-
	(b) Value of Perquisites u/s 17(2) of Income Tax Act, 1961	-		-
	(c) Profits in lieu of Salary u/s 17(3) of Income Tax Act, 1961	-		-
2.	Stock Option	-		-
3.	Sweat Equity	-		-
4.	Commission	-		-
	- as % of Profit	-		-
	- Others, specify...	-		-
5.	Others, Please specify	-		-
Total (A)		9,00,000/-		9,00,000/-
Ceiling as per the Act		5% of the net profits of the Company calculated as per Section 198 of the Companies Act, 2013		

B. Remuneration to Other Directors:

Sr. No.	Particulars of Remuneration	Name of Director		Total Amount
1.	Independent Directors	-		
	- Fees for attending board committee meeting	-		-
	- Commission	-		-
	- Others, Please specify	-		-
	Total (1)	-		-
2.	Other Non-Executive Directors	Ramesh Kumar Mantri	Anurag Agarwal	
	- Fees for attending board committee meeting	-	-	-
	- Commission	-	-	-
	- Others, Please specify	-	-	-
	Total (2)	-	-	-
	Total (B) = (1 + 2)	-	-	-
Total Managerial Remuneration		-	-	-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

Sr. No.	Particulars of Remuneration	Key Managerial Personnel				Total Amount
		CEO	Company Secretary (Achla Sharma)	Company Secretary (Vratika Sidana)	CFO (Ghanshyam Agrawal)	
	Gross Salary	N.A.	2,80,500	25,100	5,94,000	8,99,600
1.	(a) Salary as per Provisions contained in section 17(1) of Income Tax Act, 1961	N.A.	-	-	-	-
	(b) Value of Perquisites u/s 17(2) of Income Tax Act, 1961	N.A.	-	-	-	-
	(c) Profits in lieu of Salary u/s 17(3) of	N.A.	-	-	-	-

	Income Tax Act, 1961					
2	Stock Option	N.A.	-	-	-	-
3.	Sweat Equity	N.A.	-	-	-	-
4.	Commission	N.A.	-	-	-	-
	- as % of Profit	N.A.	-	-	-	-
	- Others, specify	N.A.	-	-	-	-
5.	Others, Please specify	N.A.	-	-	-	-
	Total (C)	N.A.	2,80,500*	25,100**	5,94,000	8,99,600
	Ceiling as per the Act					

*Ms Achla Sharma resigned as Company Secretary w.e.f. 07.01.2020
**Ms Vratika Sidana was appointed as Company Secretary w.e.f. 24.02.2020.

viii) Penalties / Punishment/ Compounding of Offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty /Punishment/ Compounding fees imposed	Authority [RD /NCLT/ COURT]	Appeal made, if any (give Details)
A. Company					
Penalty			None		
Punishment					
Compounding					
B. Directors					
Penalty			None		
Punishment					
Compounding					
C. Other Officers in Default					
Penalty			None		
Punishment					
Compounding					

By the Order of the Board

For Maverick Share Brokers Private Limited

For Maverick Share Brokers Pvt. Ltd. For Maverick Share Brokers Pvt. Ltd.


Mukesh Jain
(Director)
DIN: 00645583


Rakesh Kumar Mantri
(Director)
DIN: 00178126

Director

Date: 04.12.2020

Place: Jaipur