

Maverick Share Brokers Private Limited

(Member : NSE, BSE, MCX, MSEI • DP : CDSL)

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MAVERICK

Policy for Facility of voluntary freezing/blocking the online access of the trading account

The Securities and Exchange Board of India (SEBI) vide their circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024, on the subject “Ease of Doing Investments by Investors- Facility of voluntary freezing/blocking of Trading Accounts by Clients”, has mandated the Recognized Stock Exchanges and Registered Trading Members to create a framework to provide the facility of voluntary freezing/blocking the access of the Trading Account, to their clients by 1st July 2024, on account of suspicious activities. This initiative by the Regulator is to curb trades initiated by fraudsters through unauthorized access to Clients Trading Accounts.

National Stock Exchange (NSE) vide its circular no. NSE/INSP/61529 dated April 8, 2024 and Bombay Stock Exchange (BSE) vide their notice no. 20240408-12 dated April 8, 2024 have entrusted their Registered Trading Members to implement the same and communicate to all their Clients by July 1, 2024.

Accordingly, we have implemented the new process for freezing and blocking the Trading Account upon client request, effective July 1, 2024. On receiving such a request on the dedicated Mobile number from the client’s registered mobile number and dedicated e-mail from client’s registered e-mail ID, Timelines for freezing / blocking of the online access of the trading account is as follows:

Scenario	Timelines for freezing / blocking of the online access of the trading account.
Request received during the trading hours and within 15 minutes before the start of trading.	Within 15 minutes
Request received after the trading hours and 15 minutes before the start of trading.	Before the start of next trading session

All pending orders would be cancelled within 15 minutes and open position in derivative segment will be communicated to the client on their registered email id within 1 hour from the freezing / blocking of the Trading Account.

The dedicated Mobile number for Freezing/Blocking the Trading Account is:9314388043

The dedicated e-mail for Freezing/Blocking the Trading Account is: stoptrade@maverickgroup.in

The client can request to unfreeze/unblock the Trading Account in the similar manner and the Trading Account would be unblocked for trading within 15 minutes from the receipt of such request.

The said policy shall be a part of Risk Management Policy and shall be reviewed along with the said policy on a half yearly basis.